



Connecting Research  
and Researchers

# ORCID Adoption and Use by the Research Community

ORCID meets Mozart, Wien, 24 August 2015

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Digital scholarly communications require  
information that is machine readable



# Names are not digital

- Different versions (full name vs. initials)
- Shared names
- Transliteration
- Accents and other ALT characters
- Name changes
- Multiple family names



**Ted Hart** @DistribEcology

31s

An easy case for just using an ORCID as my name is T. Hart, E. Hart and E. M. Hart on publications I've discovered.

J. Å. S. Sørensen

J.Aa. S. Sørensen

J. Åge S. Sørensen

J.Aage S. Sørensen

J. Åge Smærup Sørensen

J.Aage Smaerup Sørensen



**Julie Banfield** @42jkb

2h

I've started my @ORCID\_Org ID. My publications are in different names so this is a perfect solution. Well done and thanks!

## One Wei or Another

Earning a name for yourself in science can be a struggle. Imagine how much harder it would be if your name was routinely confused with several others. That often happens to researchers with Asian names, many of which may share the same English transliteration.

To address the issue, the American Physical Society (APS) will let authors with Chinese, Japanese, or Korean names include the Asian spellings (in parentheses after the English equivalent) on papers in the society's journals, including *Physical Review Letters*. An editorial announcing the policy in December noted that at least eight different Chinese names (shown at left) are transcribed as Wei Wang. "I think this is a great way to show that science is an international matter," says Wei Wang (王为), a particle physicist at the University of Wisconsin, Madison. Adds Wei Wang (王巍), a biophysicist at the University of California, San Diego, "All the journals should do the same thing."

王伟  
王薇  
王维  
王蔚  
汪卫  
汪玮  
汪威  
汪巍

# What is ORCID?



ORCID provides a persistent digital identifier – a machine-readable name – that distinguishes researchers from each other



Member-built integrations in key research workflows such as manuscript and grant submission support automated linkages between researchers and their professional activities and affiliations, ensuring that works are appropriately attributed and discoverable




ORCID serves as a hub enabling machine-readable connections between identifiers for organizations, works, and person IDs



ORCID works collaboratively  
with the research community  
to ensure adoption and use of  
research information standards





ORCID provides plumbing for  
research information – and the  
tools to build trust in digital  
information





- ❖ Data marked public by record holder is available under Creative Commons CC0 license
- ❖ Open APIs and documentation
- ❖ Developer Sandbox
- ❖ Software code published under MIT Open Access license on GitHub repository



- Tools to allow for easy addition of identifiers (for people, places, and things) during publishing, grant application, thesis deposit, etc.
- Engage the community to embed, authenticate, and assert

The screenshot displays a portion of an ORCID iD profile. At the top, a green header contains the text "ORCID ID" and the full ORCID iD "orcid.org/0000-0001-8472-7079". Below this, a section titled "Employment (1)" is visible. The first entry is "University of Colorado Boulder: Boulder, CO, United States". A green arrow points from the bullet point "Engage the community to embed, authenticate, and assert" to this entry. At the bottom of the entry, it says "Source: Faculty Affairs at the University of Colorado Boulder" and "Created: 2014-07-15".

ORCID ID  
id orcid.org/0000-0001-8472-7079

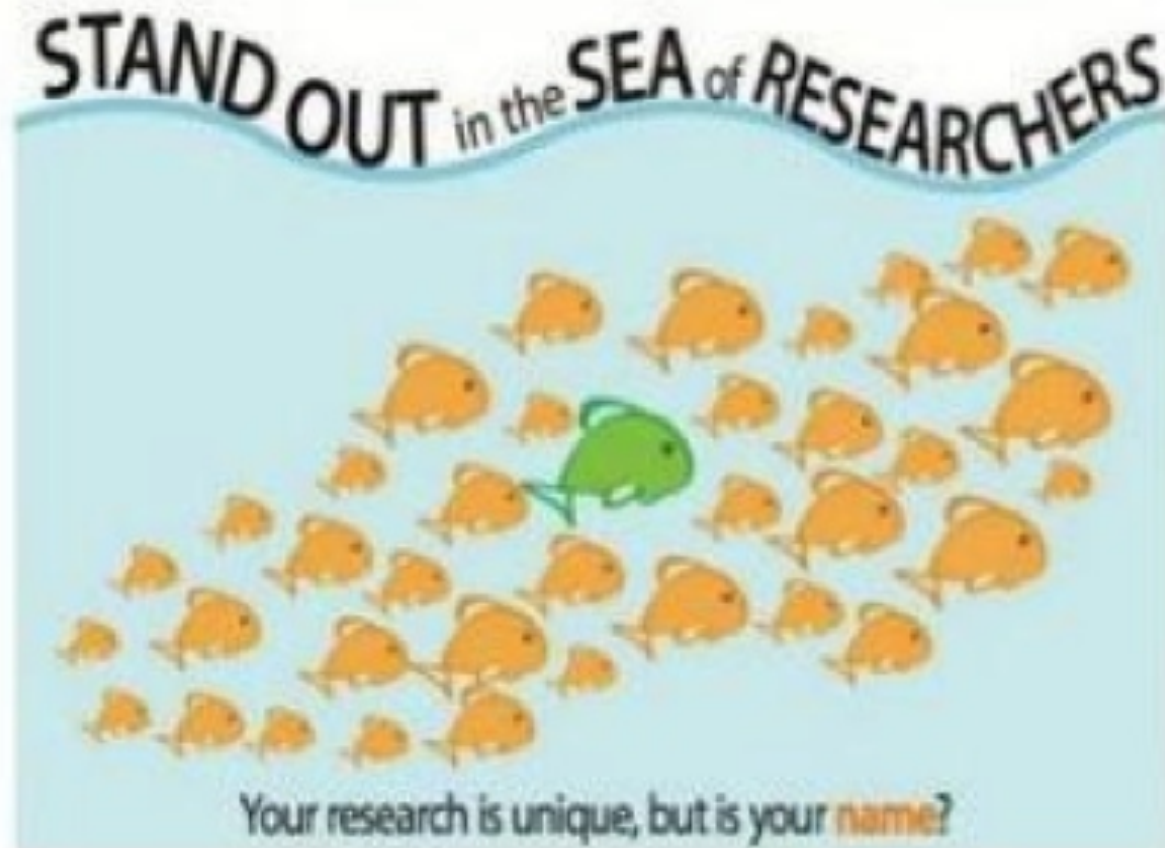
▼ Employment (1)

University of Colorado Boulder: Boulder, CO, United States

Source: Faculty Affairs at the University of Colorado Boulder Created: 2014-07-15

# ORCID

Distinguish yourself and  
your research





To benefit from ORCID, researchers need only do two things:

- ① Register
- ② Use iD

*No membership or fee is needed for the individual or by their employer.*





ORCID identifiers are associated with:

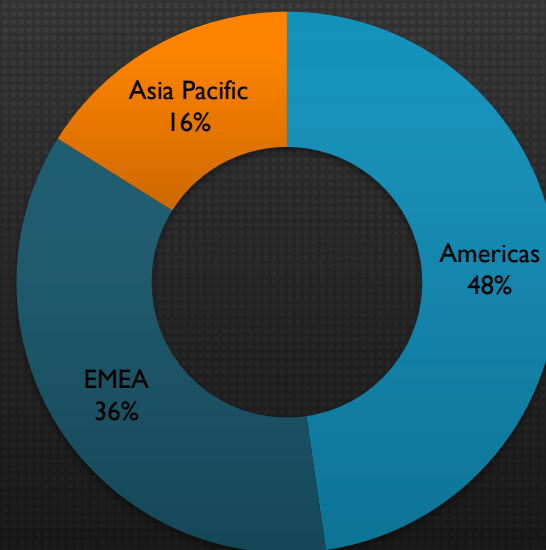
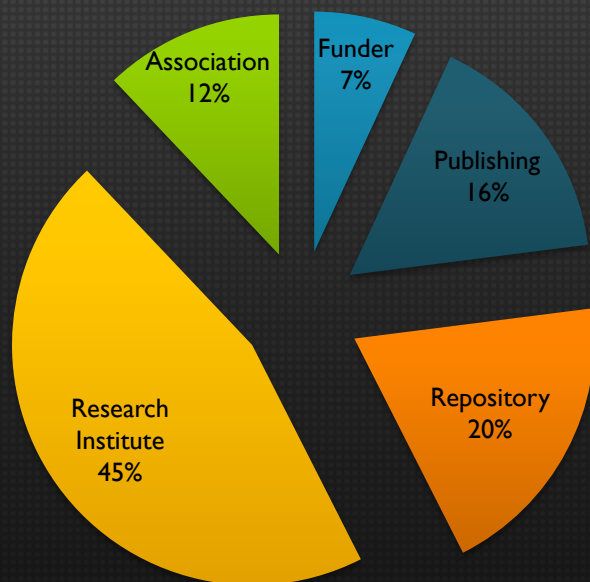
- Over 4 million unique DOIs
- Over 25 thousand unique affiliations



## Build the infrastructure:

- Engage the community to collect identifiers
- Provide tools to ensure that the iD is embedded in and published with the paper / dataset / grant / thesis, etc.
- Encourage the community to push metadata back to update individual's record

Over 300 members with 200+ iD collection points in every region and sector of the international research community.





# Consortium membership

For groups of 5 or more organizations, we provide a substantial discount on premium membership. Larger groups get larger discounts.

- The group must identify a lead organization to coordinate invoicing and technical support.
- ORCID provides technical workshops, level 2 help desk, and a web interface to support coordination of implementation.

Group Size	Member Fee	Per
5-9	US\$ 6000	member
10-19	US\$ 5000	member
20-29	US\$ 4000	member
30-99	US\$ 135 000	group
100-250	US\$ 200 000	group

- *Austria*: Funder requirement 2016
- *Italy*: National consortium 2015
- *Finland*: National recommendation 2015
- *Australia*: National recommendation 2015
- *Denmark*: University launch 2014
- *Spain*: Launched 2 consortia in 2014
- *Portugal*: Funder requirement 2013
- *Sweden*: National recommendation 2013
- *UK*: National recommendation 2013, pilot 2014, consortium 2015



## Joint Statement of Principle (14 April 2015)

Use of ORCID has many tangible benefits, for our researchers, research institutions, funding agencies and the nation overall. It is proposed that Australia's research sector broadly embrace the use of ORCID as a common researcher identifier. A small working group from the Council of Australian University Librarians (CAUL), the Australasian Research Management Society (ARMS), Universities Australia (UA) and the Australian National Data Service (ANDS) has drafted the following Joint Statement of Principle:

As a matter of principle we:

1. recognise the value of unique researcher identifiers in reducing red tape, increasing efficiency, improving data quality, integrating disparate data, promoting the reuse of data, and enhancing the online presence of Australian research to the global market; and
2. commit to support the use of ORCID as a common researcher identifier.

ORCID Working Group: Joint Statement of Principle: ORCID - Connecting Researchers and Research. Canberra; 2015 [doi:10.4225/14/552b16efae74b](https://doi.org/10.4225/14/552b16efae74b)



**Australian Government**

**National Health and Medical Research Council**

**Australian Research Council**

## NHMRC and ARC Statement on Open Researcher and Contributor ID (ORCID)

April 2015

The National Health and Medical Research Council (NHMRC) and Australian Research Council (ARC) encourage all researchers applying for funding to have an ORCID identifier.

The applicant profile section of NHMRC's Research Grants Management System (RGMS) was changed in 2014 to allow researchers to include their unique identifier (such as ORCID) when updating their CV and Profile, whilst the ARC is currently considering options for the development of a similar capacity within its Research Management System (RMS 2.0). Universities Australia, the Australasian Research Management Society, and the Council of Australian University Librarians have also endorsed a Joint Statement of Principle to adopt (ORCID), and will continue their collaboration with Australian National Data Service, ARC and NHMRC to progress this work.

[https://www.nhmrc.gov.au/\\_files\\_nhmrc/file/grants/policy/nhmrc\\_arc\\_orcid\\_statement\\_april\\_2015.pdf](https://www.nhmrc.gov.au/_files_nhmrc/file/grants/policy/nhmrc_arc_orcid_statement_april_2015.pdf)



## Why is the use of ORCID being encouraged by NHMRC & ARC?

The use of ORCID may help streamline research administration and reporting for researchers and administering institutions through:

- Facilitating disambiguation of researchers and research outputs.
- Enabling the linking and reuse of high quality, persistent data (e.g. publications, grants).



(13 April 2015)

- ORCID will be promoted by integrating it with a variety of services and processes, starting with integration into national federated identity management system
- National coordination managed by the Ministry of Education
- Utilize opt-in process: the researcher creates ORCID identifier
- Implement a national connection service between ORCID and institution identifiers
- Obtain national ORCID group membership

<https://confluence.csc.fi/display/tutki/Tutkijan+identifiointi>



- ① **Integrate data fields** for persistent identifiers for people, places, and things into your systems
- ② **Collect** persistent identifiers during transactions (using authenticated login, not typing!) AND use APIs to help autofill forms
- ③ **Incorporate** identifiers into published metadata

# Implementation continuum



Synch integration across  
organizations

Consortium

Synchronize ORCID  
Registry with local data

Premium member fee,  
local DB, IT resources

Connect ORCID to  
many university systems

Basic or premium member fee,  
local DB and IT resources

Connect ORCID to one  
university system

Basic member fee, Member API,  
requires local DB and IT resources

Capture ORCID using  
authentication

No fee, Public API, requires local  
database and IT resources

Researchers register as  
individuals

No fee, requires internet connection

iD



# ORCID iDs are being embedded in articles

COMPUTER METHODS IN BIOMECHANICS AND BIOMEDICAL ENGINEERING: IMAGING & VISUALIZATION  
2015, VOL. 26, NO. 5, 1685-1755  
<http://dx.doi.org/10.1080/02602938.2015.870530>



Taylor & Francis  
Taylor & Francis Group

## RESEARCH ARTICLE

Part of the forthcoming Special Issue on Taiwan State

OPEN ACCESS

### *Ab initio* intermolecular potential energy surface of Ne...NCCN van derWaals complex: effect of the place of midbond function on the interaction

Mohammad Solimannejad<sup>a</sup>, Hamidreza Jouypazadeh<sup>a</sup> and Hossein Farrokhpour<sup>b</sup>

<sup>a</sup>Department of Chemistry, Faculty of Sciences, Arak University, Arak, Iran; <sup>b</sup>Chemistry Department, Isfahan University of Technology, Isfahan, Iran

#### ABSTRACT

The intermolecular potential energy surface of Ne...NCCN in the framework of the counterpoise-corrected supermolecular aug-cc-pVDZ basis set extended with a set of midbond functions was calculated. The effect of the place of midbond function on the accuracy of the calculation was investigated.

#### ARTICLE HISTORY

Journal List > J Korean Med Sci > v.29(2); Feb 2014

#### Special Article

Open Access

ABSTRACT | ARTICLE | PUBREADER | PDF | FIGURES+TABLES | REFERENCES

J Korean Med Sci. 2014 Feb;29(2):164-171. English.

Published online Jan 28, 2014. <http://dx.doi.org/10.3346/jkms.2014.29.2.164>

© 2014 The Korean Academy of Medical Sciences.

### Prevention of Venous Thromboembolism, 2nd Edition: Korean Society of Thrombosis and Hemostasis Evidence-Based Clinical Practice Guidelines

Soo-Mee Bang,<sup>1,\*</sup> Moon Ju Jang,<sup>2,\*</sup> Kyoung Ha Kim,<sup>3</sup> Ho-Young Yhim,<sup>4</sup> Yeo-Kyeong Kim,<sup>5</sup> Seung-Hyun Nam,<sup>6</sup> Hun Gyu Hwang,<sup>7</sup> Sung Hwa Bae,<sup>8</sup> Sung-Hyun Kim,<sup>9</sup> Yeung-Chul Mun,<sup>10</sup> Yang-Ki Kim,<sup>3</sup> Inho Kim,<sup>11</sup> Won-Il Choi,<sup>12</sup> Chul Won Jung,<sup>13</sup> Nan Hee Park,<sup>14</sup> Nam-Kyong Choi,<sup>14</sup> Byung-Joo Park,<sup>15</sup> and Doyeun Oh<sup>12</sup>

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Ho-Young Yhim  
<http://orcid.org/0000-0002-1252-5336>

Yeo-Kyeong Kim  
<http://orcid.org/0000-0001-5447-4285>

Seung-Hyun Nam  
<http://orcid.org/0000-0002-5736-2606>

Hun Gyu Hwang  
<http://orcid.org/0000-0001-9304-7219>

Sung Hwa Bae  
<http://orcid.org/0000-0002-5279-6341>

Sung-Hyun Kim  
<http://orcid.org/0000-0002-5051-2413>



#### Formats:

- ☒ Abstract
- ☒ Article
- ☒ PubReader
- ☒ PDF
- ☒ Figures + Tables
- ☒ References

#### Tables:



## ... and publication search engines ...

### Send Scopus Author details and publication list to ORCID

Your publications on Scopus may be spread over a number of different Author profiles, because these are generated automatically. In order to create a single profile containing the correct publications, please follow the steps in this wizard. On completion, any changes will also be sent as corrections to Scopus.

### ResearcherID & ORCID Integration

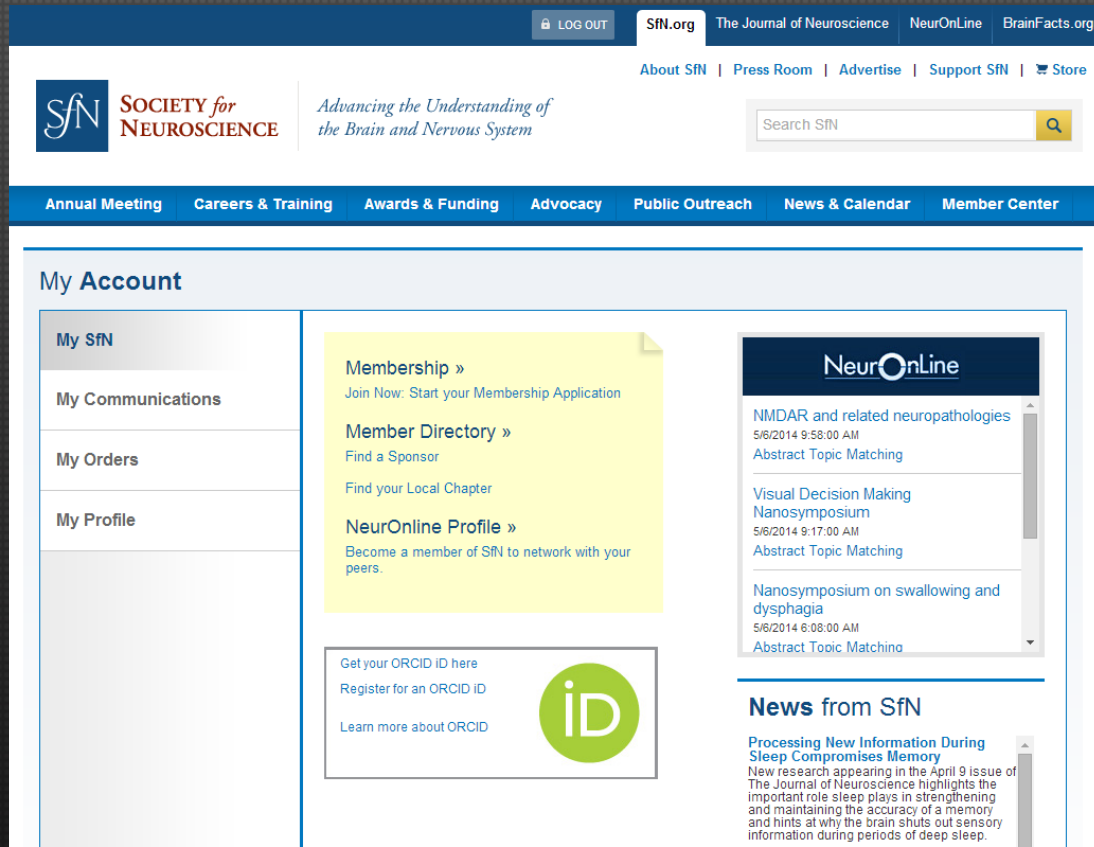
Accurate attribution has long been a challenge in the scholarly sphere. Whether one is the researcher, funder, publisher or another contributing to this ecosystem, it is critical that work be properly identified and tied to the right individual, institution, publisher or funder. Thomson Reuters has long known this, hence the reason for its ResearcherID solution. And, hence the reason for the company being a founding member of the Open Researcher and Contributor ID (ORCID) initiative.

**CrossRef** beta  
metadata search

 **Europe PubMed  
Central**



## ... association membership systems ...



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**SfN** SOCIETY for NEUROSCIENCE *Advancing the Understanding of the Brain and Nervous System*

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**My Profile**

**Membership »**  
Join Now: Start your Membership Application

**Member Directory »**  
Find a Sponsor  
Find your Local Chapter

**NeuroNLine Profile »**  
Become a member of SfN to network with your peers.

Get your ORCID ID here  
Register for an ORCID ID  
Learn more about ORCID



**NeuroNLine**

NMDAR and related neuropathologies  
5/8/2014 9:58:00 AM  
Abstract Topic Matching

Visual Decision Making  
Nanosymposium  
5/8/2014 9:17:00 AM  
Abstract Topic Matching

Nanosymposium on swallowing and dysphagia  
5/8/2014 6:08:00 AM  
Abstract Topic Matching

**News from SfN**


**Processing New Information During Sleep Compromises Memory**  
New research appearing in the April 9 issue of The Journal of Neuroscience highlights the important role sleep plays in strengthening and maintaining the accuracy of a memory and hints at why the brain shuts out sensory information during periods of deep sleep.

## How do I register my ORCID id with the Wellcome Trust?

If you are an existing user of the Wellcome Trusts online application system [eGrants](#), you can verify your existing ORCID id via the 'Your Details' page of your eGrants account.

If you do not have an eGrants account or an ORCID id, you can register for both during the [eGrants](#) registration process. Further information can be found in the [eGrants User Guide](#) or by contacting [eGrants Support](#).

... and grants...



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## My NCBI Curriculum Vitae Web Application: SciENcv

Hutcherson L. My NCBI Curriculum Vitae Web Application: SciENcv. NLM Tech Bull. 2013 Sep-Oct;(394):e3.

**2013 September 17** [posted]

SciENcv is a new feature in My NCBI that helps users create an online professional profile that can be made public to share with others. In SciENcv users can document their education, employment, research activities, publications, honors, research grants, and other professional contributions. In addition, the SciENcv profile may include an [ORCID® ID](#), when registered with [ORCID](#).



## ... datasets ...

Data from Figure 7 from: Measurements of Higgs boson production and couplings in diboson final states with the ATLAS detector at the LHC

2013-09 | data-set

DOI: [10.7484/INSPIREHEP.DATA.A78C.HK44](https://doi.org/10.7484/INSPIREHEP.DATA.A78C.HK44)

URL: <http://dx.doi.org/10.7484/INSPIREHEP.DATA.A78C.HK44>

SOURCE: Kyle Cranmer

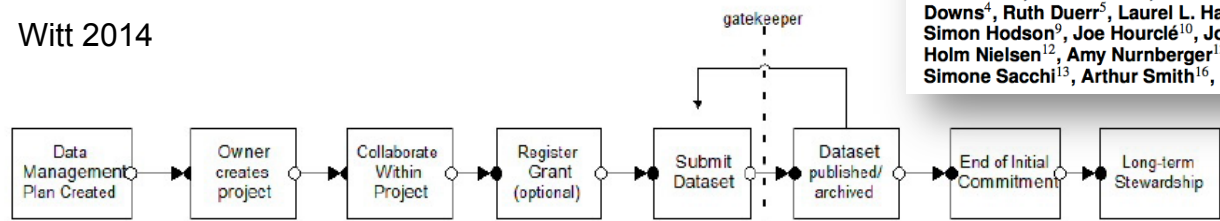
CREATED: 2013-09-11

Preferred source



## ...and data management

Witt 2014



**Achieving human and machine accessibility of cited data in scholarly publications**

Joan Starr<sup>1</sup>, Eleni Castro<sup>2</sup>, Mercè Crosas<sup>2</sup>, Michel Dumontier<sup>3</sup>, Robert R. Downs<sup>4</sup>, Ruth Duerr<sup>5</sup>, Laurel L. Haak<sup>6</sup>, Melissa Haendel<sup>7</sup>, Ivan Herman<sup>8</sup>, Simon Hodson<sup>9</sup>, Joe Hourclé<sup>10</sup>, John Ernest Kratz<sup>1</sup>, Jennifer Lin<sup>11</sup>, Lars Holm Nielsen<sup>12</sup>, Amy Nurnberger<sup>13</sup>, Stefan Proell<sup>14</sup>, Andreas Rauber<sup>15</sup>, Simone Sacchi<sup>13</sup>, Arthur Smith<sup>16</sup>, Mike Taylor<sup>17</sup>, and Tim Clark<sup>18</sup>

PeerJ 2015

## Coming in 2015:

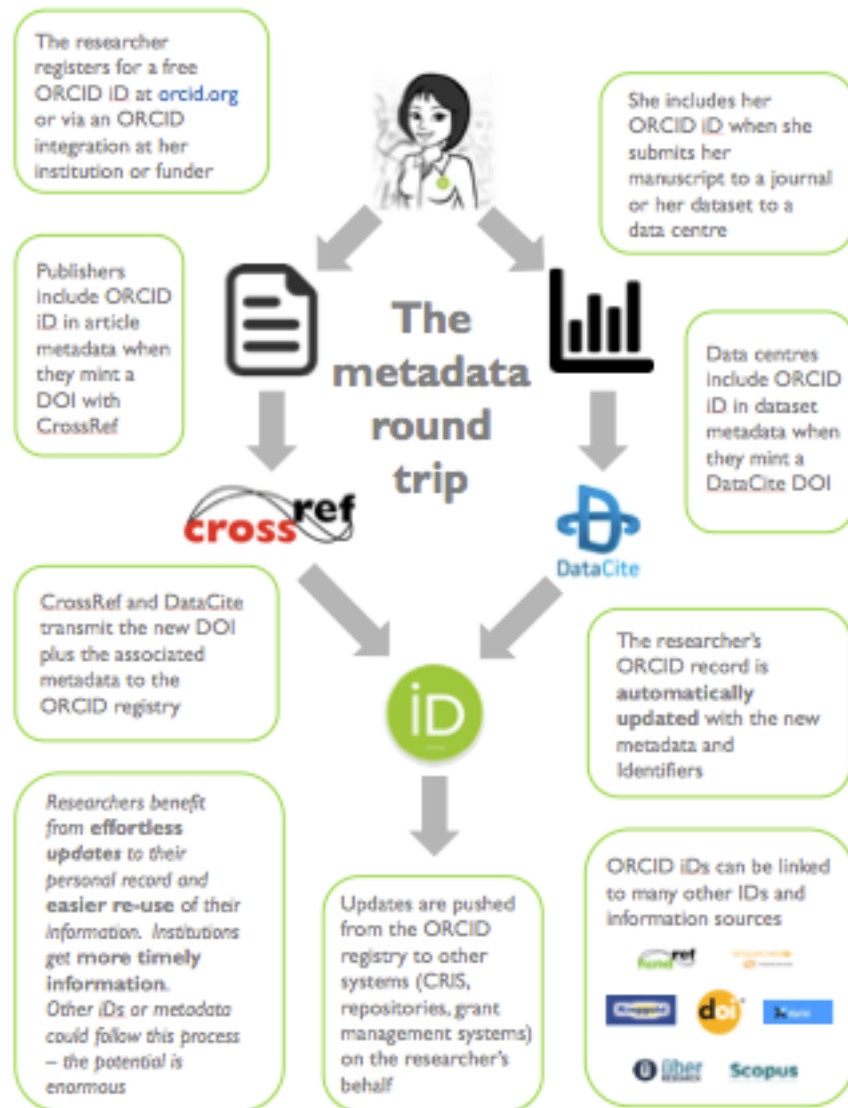
The author can pre-populate submission form fields: preferred name, affiliation, funding

The authenticated iD becomes a part of the paper

Upon publication, the iD is indexed by CrossRef, Scopus, Web of Science, and other services.

Information flows to ORCID and on to linked platforms

## Capture, embed, interoperate:







***Researchers who use their ORCID iD when they publish will not need to manually update their record in ORCID or in connected systems***







- Take 30 seconds to register at <http://orcid.org/register>
- Free to researchers
- Individual owns the record and controls privacy settings
- Works on laptops, tablets, and phones



**Christopher Bartley** @CMBartley 10h  
Just got my ORCID ID, you should too  
@ORCID\_Org



**Dale Askey** @daskey 40s  
@RrrichardZach yes. many uses, not  
least name disambiguation. painless to  
get one. [orcid.org](http://orcid.org)

874,414 ORCID iDs and counting. [See more...](#)

### Register for an ORCID iD

ORCID provides a persistent digital identifier that distinguishes you from every other researcher and, through integration in key research workflows such as manuscript and grant submission, supports automated linkages between you and your professional activities ensuring that your work is recognized.

First name

Last name

Email

Re-enter email

Password

Confirm password

Default privacy for new research activities (Works, Affiliations, Funding, etc)

Notification Email

☒ Send me notifications about changes to my ORCID Record.

☒ Send me information about events ORCID is sponsoring and ORCID news.

Terms of Use \*

☐ I consent to the [privacy policy](#) and [conditions of use](#), including public access and use of all my data that are marked Public.

**You must accept the terms and conditions to register.**

[Register](#)

874,414 ORCID iDs and counting. [See more...](#)

### Register for an ORCID iD

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First name

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☐ I consent to the [privacy policy](#) and [conditions of use](#), including public access and use of all my data that are marked Public.

**You must accept the terms and conditions to register.**

[Register](#)

# More information



- Find out more at <http://orcid.org>
- Subscribe to our blog at <http://orcid.org/about/news>
- Follow [@ORCID\\_Org](https://twitter.com/ORCID_Org) on Twitter
- Contact the ORCID Executive Director at [l.haak@orcid.org](mailto:l.haak@orcid.org)



- Governance
- Privacy
- How does ORCID work

- ❖ **Data Governance:** ORCID is committed to maintaining persistence of the ORCID identifier Registry and data in its charge.
- ❖ **Organization Governance:** ORCID is governed by an elected Board of Directors, majority non-profit, drawn from and representative of ORCID member organizations. Governance documents are posted online.
- ❖ **Community Involvement:** ORCID has Steering and Working Groups and an Ambassador program open to the research community.
- ❖ **Staff:** ORCID operations are managed by a full time staff.




- ❖ Individual creates and modifies record using opt-in process
- ❖ Individual can grant access to record (read/write/update privileges) to other trusted individuals or organizations, and can also revoke privileges
- ❖ Only ORCID members can serve as trusted organizations
- ❖ Privacy policy and practices reviewed by an external organization
- ❖ Certified compliance with Safe Harbor guidelines


# How does ORCID work?

- Registry allows researchers to connect to existing works and affiliations
- Members build integrations to connect researchers to new works and affiliations








Use free tools to connect your ORCID identifier to your name variations, other IDs, affiliations, and existing works and funding


**Laurel L Haak** 


 <http://orcid.org/0000-0001-5109-3700>


**Also known as:**


   











**ADD EDUCATION**

Institution

**ADD EMPLOYMENT**

**Qatar University**  
Doha, Ad Dawhah, acad

**LINK FUNDING**

**Weill Cornell Medical College**  
Qatar  
Doha, Ad Dawhah, academic/medsch

**LINK WORKS**

**Texas A&M University at Qatar**  
Doha, Ad Dawhah, academic/campus

**Qatar Foundation**  
Doha, Ad Dawhah, other/charity

**Qatar University College of Arts and Sciences**

ORCID has been working with many member organizations to make it easy to connect your ORCID iD, and link information from their records. Choose one of the links wizards to get started.

#### Airiti

Enables user to import metadata from Airiti, including journal papers, proceedings, dissertations and books. Scholars can easily collect and calculate all the research works that have been published. 研究者可匯入華藝所收錄的學術資源，包括兩岸三地的期刊論文、學位論文、會議論文集等內容，迅速蒐羅學術生涯中所有的書寫姓名及完整作品，讓研究活動及成果獲得正確地引用，且更快速梳理並展現個人的研究影響力，將華文地區優秀學者及高品質研究推廣至國際。

#### Australian National Data Service (ANDS) Registry

Import your research datasets into ORCID from Australian National Data Service (ANDS) and Research Data Australia (RDA). ANDS is partnering with Australian research institutions and data producing agencies to improve discovery and reusability of research data across many research domains from earth science to technology and engineering.

#### CrossRef Metadata Search

Search CrossRef's comprehensive metadata database for journal articles, book chapters, proceedings and monographs. Easily add search results to your ORCID iD.

#### DataCite search and link

Search the DataCite Metadata Store to find your research datasets, images and other works. Then claim them by adding them to your ORCID iD.

#### Europe PubMed Central

Europe PubMed Central (Europe PMC) is a free full-text archive of biomedical and health-related research articles and full-text books in the public domain. It is a part of the National Center for Biotechnology Information (NCBI) and is a member of the Public Domain Publishing in Europe PMC to your ORCID. Europe PMC contains all of PubMed, 500K records from Agricola that cannot be found in PubMed, 4 million records from the National Library of Medicine and the share with PMC in the USA.

#### ISNI2ORCID search and link

Enables user to search the ISNI records and link ISNI records to his ORCID profile as an external identifier.

#### ResearcherID

ResearcherID is a global, multi-disciplinary scholarly research community where members can register for unique identifier, build a profile of their scholarly works, view citation metrics, and search for like-minded researchers.

#### Scopus to ORCID

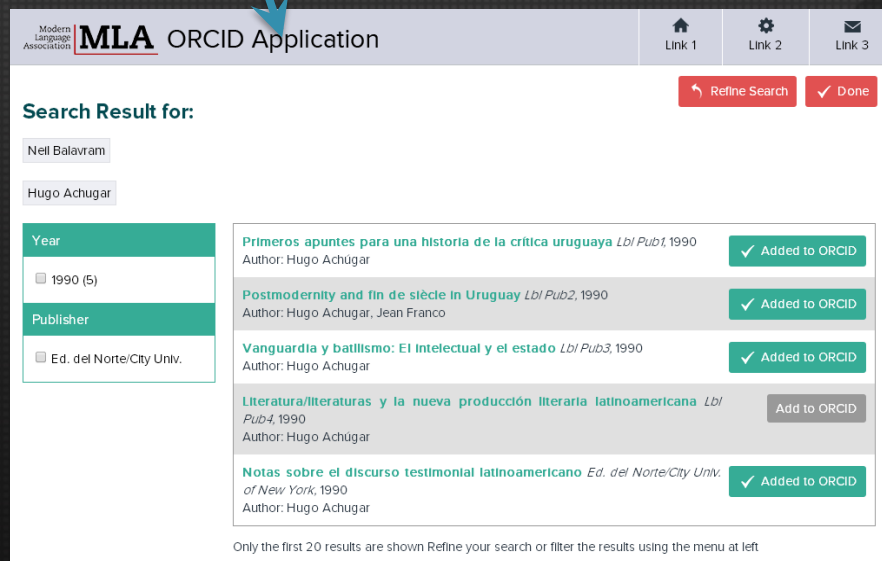
Import your Identifier, profile and publications. The wizard helps you find the correct Scopus profile and to confirm your publications. You can then import the identifier and list of publications into ORCID. Any changes you make will be submitted to the Feedback team to update your Scopus profile.

Don't see what you are looking for? You can connect your ORCID iD to many other organizations and sites. See our [Member Integration Page](#) to find more connections.

Researchers can connect to existing works and push ORCID iD into indexes including Web of Science, Scopus, and Europe PubMedCentral

# Link to existing works

Connect your ORCID iD to existing works by using tools in ORCID interface or in external platforms



Modern Language Association **MLA** ORCID Application

Home Link 1 Settings Link 2 Email Link 3

Refine Search Done

Search Result for:

Neil Balavram

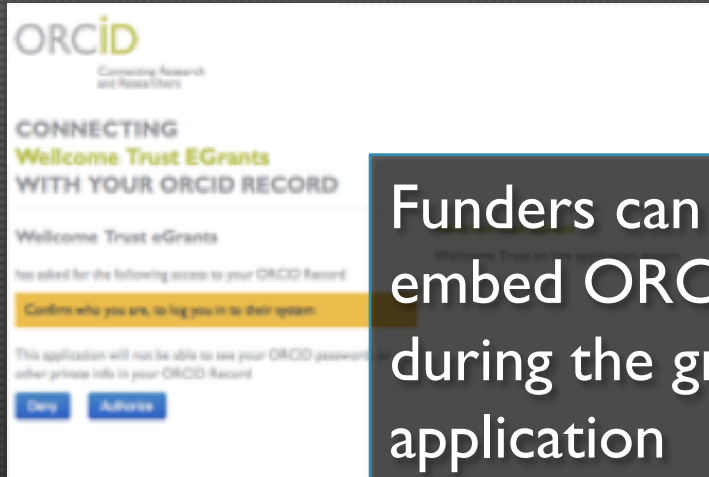
Hugo Achugar

Year	Publisher	Publication	Action
1990 (5)	Ed. del Norte/City Univ.	Primeros apuntes para una historia de la crítica uruguaya Lbl Pub1, 1990 Author: Hugo Achugar	✓ Added to ORCID
		Postmodernity and fin de siècle In Uruguay Lbl Pub2, 1990 Author: Hugo Achugar, Jean Franco	✓ Added to ORCID
		Vanguardia y batllismo: El intelectual y el estado Lbl Pub3, 1990 Author: Hugo Achugar	✓ Added to ORCID
		Literatura/literaturas y la nueva producción literaria latinoamericana Lbl Pub4, 1990 Author: Hugo Achugar	Add to ORCID
		Notas sobre el discurso testimonial latinoamericano Ed. del Norte/City Univ. of New York, 1990 Author: Hugo Achugar	✓ Added to ORCID

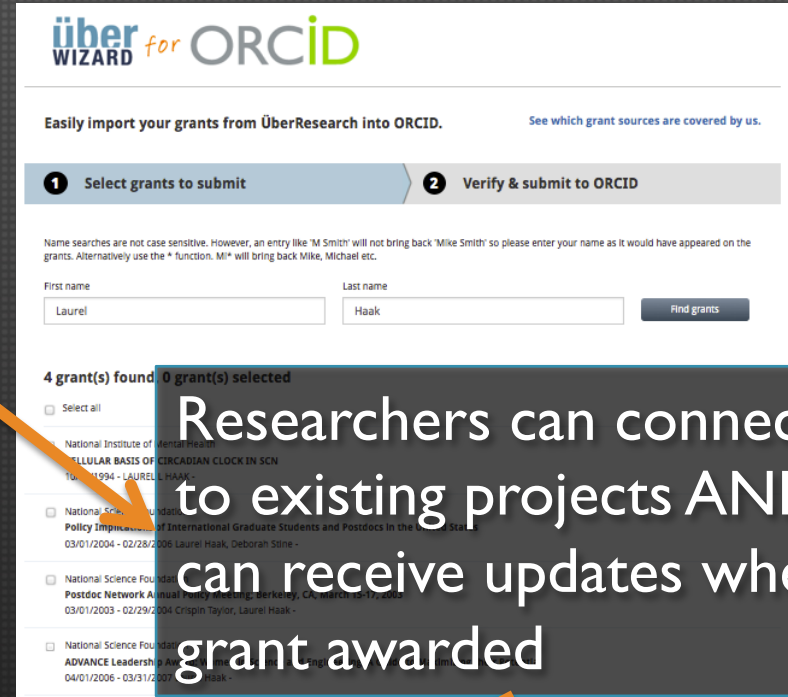
Only the first 20 results are shown Refine your search or filter the results using the menu at left



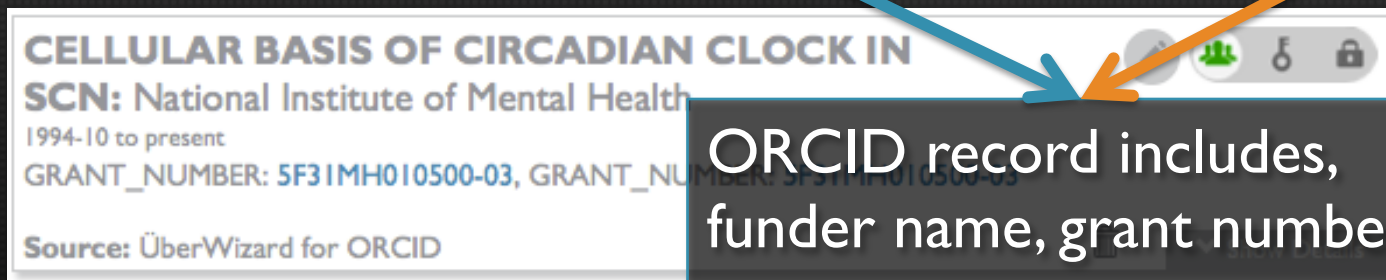
# Updating award information



Funders can  
embed ORCID iD  
during the grant  
application  
workflow



Researchers can connect  
to existing projects AND  
can receive updates when  
grant awarded



ORCID record includes,  
funder name, grant number,  
source, source provenance

# Link to organization

- List auto-populates based on type-ahead
- All organizations have unique iD
- University or employer can pre-populate and validate association
- Can associate with multiple organizations

## ADD EMPLOYMENT

Institution/employer \*

Bloomsburg University of P

- Bloomsburg University of Pennsylvania**  
Bloomsburg, PA, academic \*
- Bloomsbury Qatar Foundation Publishing**  
Doha, Ad Dawhah, corporate/media
- University of Law - London Bloomsbury Centre**  
London, London, academic/campus
- Bloomsbury Publishing Plc**  
London, London, corporate/media
- Bloomsbury University Department

Department

Add department

Role/title

Start date

Year Month Day

End date (leave blank if current)

Year Month Day

Add to list Cancel